

More on the Airy averaging method

G.P. Arrighini, S. Bruzzone* and C. Guidotti

Dipartimento di Chimica e Chimica Industriale, Università di Pisa, Via Risorgimento 35, 56100 Pisa, Italy

Received 14 November 2002; revised 14 April 2003

The Airy averaging method illustrated in a previous paper [J. Math. Chem. 25 (1999) 93] is a simple and effective procedure for dealing with mathematical expressions/manipulations involving Airy functions. The potentiality of the method is emphasized through a couple of applications drawn from recent research advances in the field of the discrete variable representation (DVR) basis sets.

KEY WORDS: Airy functions, discrete variable representation, Airy averaging method

1. Introduction

In a recent paper involving two of the present authors [1], the utility of a mathematical procedure, named Airy averaging, has been illustrated by a number of simple applications. The procedure, devised by Schwinger and Englert and specifically exploited by them to bring quantum improvements in the Thomas–Fermi atomic model [2,3], turns out to be an effective, interesting approach that allows dealing with mathematical manipulations involving Airy functions [4].

The important role played by these special functions in various contexts is well documented. Semiclassical scattering theory, molecular photodissociation and predissociation, Raman scattering spectroscopy are only a few examples drawn from the less recent literature [5–10]. More recently, a number of basic developments in the discrete variable representation (DVR) field have been reported [11–14]. In particular, Airy functions have been discussed in depth as a new DVR basis set endowed with advantageous features, for instance to approach the solution of problems in quantum mechanical applications [14].

In this short paper we propose to extend farther our former review of Airy averaging applications [1]. We shall demonstrate, in particular, that some results obtained in [14] follow rather smoothly by our approach, without recourse to lengthy manipulations. Limiting ourselves to summarize only a few essential points of the Airy averaging method, we recall that the pair of linearly independent solutions of the homogeneous

* Corresponding author. E-mail: sama@cci.unipi.it

differential equation (z complex variable)

$$\frac{d^2 y(z)}{dz^2} - zy(z) = 0, \quad (1)$$

denoted $Ai(z)$ and $Bi(z)$, are known as the regular and irregular Airy functions, respectively [4]. The regular Airy function admits the following integral representation,

$$Ai(x) = \frac{1}{2\pi} \int_{-\infty}^{\infty} dy \exp\left[-i\left(\frac{y^3}{3} + xy\right)\right], \quad (2)$$

from which one obtains in a straightforward way the Fourier transform

$$\int_{-\infty}^{\infty} dx Ai(x) e^{iyx} = \exp\left(-i\frac{y^3}{3}\right). \quad (3)$$

As a special case of equation (3) we have

$$\int_{-\infty}^{\infty} dx Ai(x) = 1. \quad (4)$$

This result suggests formally the idea of a normalized Airy distribution. The Airy averaging of a given function $f(x)$ then follows immediately according to the definition

$$\langle f(x) \rangle_{Ai} \equiv \int_{-\infty}^{\infty} dx f(x) Ai(x). \quad (5)$$

As a consequence of this definition, the result of equation (3) can be expressed in the form of Airy averaging as

$$\langle \exp(iyx) \rangle_{Ai} = \exp\left(-i\frac{y^3}{3}\right). \quad (6)$$

Equation (6), along with the linear nature of the Airy averaging mapping [1], constitute the basis for the following applications.

2. Applications

As a first example of the formalism just summarized, we shall consider the Airy averaging of the function $f(x) = (x - z)^{-1}$, with z arbitrary complex number,

$$\langle (x - z)^{-1} \rangle_{Ai} \equiv \int_{-\infty}^{\infty} dx \frac{Ai(x)}{x - z}. \quad (7)$$

The integrand $Ai(x)(x - z)^{-1}$ plays an important role in recent theoretical work concerning the Airy DVR basis set [14], a specific example in the more general discrete variable representation problematics [12]. For $z = z_n$, with $\{z_n\}$ countable sequence of roots of $Ai(z)$, in fact, the functions $F_n(x) = (-1)^n Ai(x)(x - z_n)^{-1}$ constitute an orthonormal basis, in terms of which, for example, the diagonalization of a given Hamiltonian operator can be set up.

The recourse to the simple exchange of two integration orders (a consequence of the linear nature of the Airy averaging mapping) allows re-expressing equation (7) in the form

$$\langle (x - z)^{-1} \rangle_{Ai} = i \int_{-\infty}^0 dy e^{-izy} \langle e^{iyx} \rangle_{Ai}, \quad (8)$$

valid when $\text{Im } z > 0$. From equation (6), therefore,

$$\langle (x - z)^{-1} \rangle_{Ai} = i \int_{-\infty}^0 dy e^{-izy} e^{-iy^3/3}. \quad (9)$$

Starting from the latter result, it is a very simple exercise to verify that $\langle (x - z)^{-1} \rangle_{Ai}$ satisfies the inhomogeneous Airy equation

$$\frac{d^2 \langle (x - z)^{-1} \rangle_{Ai}}{dz^2} - z \langle (x - z)^{-1} \rangle_{Ai} = 1. \quad (10)$$

Standard manipulations based on the method of variation of constants lead to the following general solution of equation (10),

$$\langle (x - z)^{-1} \rangle_{Ai} = \left[a - \pi \int_0^z dt Bi(t) \right] Ai(z) + \left[b + \pi \int_0^z dt Ai(t) \right] Bi(z), \quad (11)$$

in terms of the regular and irregular Airy functions, with a, b complex integration constants.

The most obvious way for determining the constants a, b involves the solution of the following linear equation set,

$$\begin{aligned} \left[\langle (x - z)^{-1} \rangle_{Ai} \right]_{z=0} &= a Ai(0) + b Bi(0), \\ \left[\frac{d \langle (x - z)^{-1} \rangle_{Ai}}{dz} \right]_{z=0} &= a Ai'(0) + b Bi'(0) \end{aligned} \quad (12)$$

(the primed quantity $f'(z)$ denotes first derivative $df(z)/dz$). Here, $Ai(0) = 3^{-3/2}/\Gamma(2/3)$, $Bi(0) = \sqrt{3}Ai(0)$, $Ai'(0) = -3^{-1/3}/\Gamma(1/3)$, $Bi'(0) = -\sqrt{3}Ai'(0)$ (with $\Gamma(z)$ gamma function of argument z) [4] and

$$\begin{aligned} \left[\langle (x - z)^{-1} \rangle_{Ai} \right]_{z=0} &= i \int_0^{\infty} dy e^{iy^3/3}, \\ \left[\frac{d \langle (x - z)^{-1} \rangle_{Ai}}{dz} \right]_{z=0} &= - \int_0^{\infty} dy ye^{iy^3/3}. \end{aligned} \quad (13)$$

If the exponential figuring in the integrands of equation (13) is interpreted as $\exp(iy^3/3) = \lim_{\varepsilon \rightarrow 0^+} \exp[-(\varepsilon - i/3)y^3]$, from the general result $\int_0^\infty dy y^{\nu-1} \exp(-\mu y^p) = (1/|p|)\Gamma(\nu/p)/(\mu)^{\nu/p}$ ($\text{Re } \nu > 0$) [15], one obtains

$$\begin{aligned} \langle (x-z)^{-1} \rangle_{Ai} \Big|_{z=0} &= 3^{-2/3} \Gamma\left(\frac{1}{3}\right) \exp\left(\frac{2i\pi}{3}\right), \\ \left[\frac{d\langle (x-z)^{-1} \rangle_{Ai}}{dz} \right]_{z=0} &= -3^{-1/3} \Gamma\left(\frac{2}{3}\right) \exp\left(\frac{i\pi}{3}\right) \end{aligned} \quad (14)$$

and, finally, $a = \pi i$, $b = -\pi/3$. Therefore,

$$\langle (x-z)^{-1} \rangle_{Ai} = \pi i \left\{ \left[1 + i \int_0^z dt Bi(t) \right] Ai(z) + i \left[\frac{1}{3} - \int_0^z dt Ai(t) \right] Bi(z) \right\}, \quad (15)$$

a result that can be expressed compactly in the alternative form

$$\langle (x-z)^{-1} \rangle_{Ai} = \pi i [Ai(z) + iGi(z)], \quad (16)$$

involving the Airy function $Gi(z)$ [4], sometimes referred to as inhomogeneous Airy function [16]. $Gi(z)$ is, in fact, the solution to the inhomogeneous Airy equation

$$\frac{d^2 Gi(z)}{dz^2} - zGi(z) = -\pi^{-1}, \quad (17)$$

satisfying the boundary conditions $Gi(0) = Ai(0)/\sqrt{3}$, $Gi'(0) = -Ai'(0)/\sqrt{3}$ [4,16]. The complex variable function $K(z) = Ai(z) + iGi(z)$ has already been demonstrated to play a role in theoretical Raman scattering investigations using the reflection approximation [10,16]. To this regard, we point out incidentally that efficient algorithms for evaluating homogeneous and inhomogeneous Airy functions of complex argument are available [16–19].

The second application considered in this paper follows from equation (5) by the choice $f(x) = (x-z)^{-1}Ai(x)$, so that

$$\int_{-\infty}^{\infty} dx \frac{Ai^2(x)}{x-z} = \langle (x-z)^{-1} Ai(x) \rangle_{Ai}. \quad (18)$$

This integral is basically involved in the demonstration of the orthonormality properties of the Airy DVR basis functions $F_n(x) = (-1)^n (x-z_n)^{-1} Ai(x)$ [14].

The Airy averaging required by equation (18) can be carried out on the basis of the same procedure adopted in the former example. In place of equation (8), we have

$$\langle (x-z)^{-1} Ai(x) \rangle_{Ai} = i \int_{-\infty}^0 dy e^{-izy} \langle e^{iyx} Ai(x) \rangle_{Ai}, \quad (19)$$

whose validity is easily verified if $\text{Im } z > 0$. From the result [1],

$$\langle e^{iyx} Ai(x) \rangle_{Ai} = (4\pi iy)^{-1/2} \exp\left(-\frac{iy^3}{12}\right), \quad (20)$$

we obtain in a straightforward way

$$\begin{aligned} w(z) &\equiv \langle (x-z)^{-1} Ai(x) \rangle_{Ai} = \frac{1}{2} \left(\frac{i}{\pi} \right)^{1/2} \int_{-\infty}^0 dy \frac{e^{-iy^3/12} e^{-izy}}{y^{1/2}} \\ &= \frac{e^{-i\pi/4}}{\sqrt{\pi}} \int_0^{\infty} d\xi e^{i\xi^6/12} e^{iz\xi^2}. \end{aligned} \quad (21)$$

Simple manipulations on $d^3w(z)/dz^3$ allow demonstrating that $w(z)$ satisfies the following homogeneous differential equation,

$$\frac{d^3w(z)}{dz^3} - 4z \frac{dw(z)}{dz} - 2w(z) = 0, \quad (22)$$

that is recognized to be the same satisfied by products of Airy functions, whose linearly independent solutions are $Ai^2(z)$, $Ai(z)Bi(z)$ and $Bi^2(z)$ [4].

The result for the Airy averaging involved, equation (21), can therefore be expressed in the general form

$$w(z) = aAi^2(z) + bAi(z)Bi(z) + cBi^2(z) \quad (23)$$

with a, b, c (complex) constants. The determination of these constants is carried out, as in the former case, by solving the linear set of algebraic equations,

$$\begin{aligned} w(0) &= aAi^2(0) + bAi(0)Bi(0) + cBi^2(0), \\ w'(0) &= 2aAi(0)Ai'(0) + b[Ai(0)Bi'(0) + Ai'(0)Bi(0)] + 2cBi(0)Bi'(0), \\ w''(0) &= 2a[Ai'(0)]^2 + 2bAi'(0)Bi'(0) + 2c[Bi'(0)]^2, \end{aligned} \quad (24)$$

with the primes denoting derivation orders. The n th order derivative $w^{(n)}(z)$ at $z = 0$ is easily calculated starting from equation (21),

$$w^{(n)}(0) = \frac{e^{i(\pi/6)(4n-1)}}{\sqrt{\pi}} 2^{2(n-1)/3} \cdot 3^{(2n-5)/6} \Gamma\left(\frac{2n+1}{6}\right) \quad (25)$$

after interpreting $e^{i\xi^6/12}$ as $\lim_{\varepsilon \rightarrow 0^+} \exp[-(\varepsilon - i/12)\xi^6]$, in perfect analogy with the procedure leading to equation (14). The solution of the linear equation set (24), with $w(0)$, $w'(0)$, $w''(0)$ provided by equation (25), yields $a = \pi i$, $b = -\pi$, $c = 0$, so that

$$\int_{-\infty}^{\infty} dx \frac{Ai^2(x)}{x-z} = \langle (x-z)^{-1} Ai(x) \rangle_{Ai} = \pi i Ai(z) [Ai(z) + iBi(z)]. \quad (26)$$

Equation (26) is a basic equality. The orthonormality of the Airy DVR basis functions $F_n(x) = (-1)^n (x - z_n)^{-1} Ai(x)$ is, in fact, a simple consequence of such result [14].

Acknowledgements

This work has been supported by funds provided by Italian Consiglio Nazionale delle Ricerche (contributions Nos. CTB 9900939CT03 and 00.00650PF34) and Pisa University (fondi di Ateneo, ex 60%, years 2000–2001).

References

- [1] G.P. Arrighini, N. Durante and C. Guidotti, *J. Math. Chem.* 25 (1999) 93.
- [2] B.G. Englert and J. Schwinger, *Phys. Rev. A* 29 (1984) 2339.
- [3] B.G. Englert, *Semiclassical Theory of Atoms*, Lectures Notes in Physics, Vol. 300 (Springer, Heidelberg, 1988).
- [4] M. Abramowitz and I.A. Stegun, *Handbook of Mathematical Functions* (Dover, New York, 1965).
- [5] M.S. Child (ed.), *Semiclassical Methods in Molecular Scattering and Spectroscopy* (Reidel, Dordrecht, 1980).
- [6] E.J. Heller, *J. Chem. Phys.* 68 (1978) 2066.
- [7] A.D. Bandrauk and J.-P. Laplante, *Can. J. Chem.* 55 (1977) 1333.
- [8] A.D. Bandrauk and J.-P. Laplante, *J. Chem. Phys.* 65 (1976) 2592.
- [9] S.-Y. Lee and E.J. Heller, *J. Chem. Phys.* 71 (1979) 4777.
- [10] M.L. Sink and A.D. Bandrauk, *Chem. Phys.* 33 (1978) 205.
- [11] J.C. Light and T. Carrington, Jr., *Adv. Chem. Phys.* 114 (2000) 263.
- [12] R.G. Littlejohn, M. Cargo, T. Carrington, Jr., K. Mitchell and B. Poirier, *J. Chem. Phys.* 116 (2002) 8691.
- [13] R.G. Littlejohn and M. Cargo, *J. Chem. Phys.* 117 (2002) 27.
- [14] R.G. Littlejohn and M. Cargo, *J. Chem. Phys.* 117 (2002) 37.
- [15] I.S. Gradshteyn and I.M. Ryzhik, *Table of Integrals, Series and Products* (Academic Press, New York, 1965).
- [16] S.-Y. Lee, *J. Chem. Phys.* 72 (1980) 332.
- [17] R.G. Gordon, *J. Chem. Phys.* 51 (1969) 14.
- [18] R.G. Gordon, *J. Math. Phys.* 9 (1968) 655.
- [19] R.G. Gordon, *J. Chem. Phys.* 52 (1970) 6211.